

JAN 14 2009

FTO-2009-1 (08-09)

Approved for use through 07/31/2009. GPO: 2008-2230  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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2171-  
RanTRANSMITTAL  
FORM

(Use for all correspondence other than File)

|  |  |                        |                   |
|--|--|------------------------|-------------------|
|  |  | Application Number     | 08/822,823        |
|  |  | Filing Date            | 01/20/2001        |
|  |  | First Named Inventor   | Bret P. O'Reourke |
|  |  | Group Art Unit         | 2171              |
|  |  | Examiner Name          | BRIAN D GODDARD   |
| Total Number of Persons in This Submission |  | Attorney Docket Number | MS1-655US         |

## ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                 | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to Group   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                                 |
| <input type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief, Proprietary Information) |
| <input type="checkbox"/> <input checked="" type="checkbox"/> After Final                 | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> <input checked="" type="checkbox"/> Attn/Notice/Notification(s) | <input type="checkbox"/> Power of Attorney, Revocation                    | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):                                     |
| <input type="checkbox"/> Extension of Time Request                                       | <input type="checkbox"/> Change of Correspondence Address                 | <i>Form PTO-1449 and 4 cited references<br/>Return Receipt Postcard</i>   |
| <input type="checkbox"/> Express Abandonment Request                                     | <input type="checkbox"/> Terminal Disclaimer                              |   |
| <input type="checkbox"/> Information Disclosure Statement                                | <input type="checkbox"/> Request for Refund                               |   |
| <input type="checkbox"/> Certified Copy of Priority Documents                            | <input type="checkbox"/> CD, Number of CD(s)                              |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application                | <input type="checkbox"/> Remarks  |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53             |   |   |

22801

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |                              |
|-------------------------------|------------------------------|
| Name<br>or<br>Individual Name | Brian G. Hart/Reg. No. 44421 |
| Signature                     |                              |
| Date                          | 01/12/09                     |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that the correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

|                       |                  |
|-----------------------|------------------|
| Typed or printed name | LeAnn M. Sassman |
| Signature             |                  |
| Date                  | 01/12/09         |

The transmission of information is required by 37 CFR 1.8. The transmittal is required to obtain credit as a certified by the postmark which is to be paid by the USPTO to process the application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. However, many factors affect the individual case. Any or none of the time may be spent on this form if you choose to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JAN 14 2005

PTO-2217 (12-03)

Approved for use through 07/31/2003. GPO: 2001-2129  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

This form is required by 37 CFR 1.16. It is not subject to mandatory disclosure under the Freedom of Information Act (5 U.S.C. 552).

First use on 12/30/2004.  
Permitted until the cancellation date of the application (37 CFR 1.16).

# FEE TRANSMITTAL For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 33,822,923       |
| Filing Date          | 01/22/2005       |
| First Named Inventor | Eric P. O'Reilly |
| Examiner Name        | BRIAN D COBBARD  |
| Art Unit             | 2171             |
| Attorney Docket No.  | MS1-666US        |

## METHOD OF PAYMENT (check all that apply)

|   |                                      |   |                               |   |
|---|--------------------------------------|---|-------------------------------|---|
| <input type="checkbox"/> Check  | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order  | <input type="checkbox"/> None | <input type="checkbox"/> Other (please identify): |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 12-C769 Deposit Account Name: Ica & Hayes, PLLC |                                      |   |                               |   |
| For the above-identified deposit account, the filer is hereby authorized to: (check all that apply)                         |                                      |   |                               |   |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below   |                                      | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |                               |   |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.10 and 1.17      |                                      | <input checked="" type="checkbox"/> Credit any overpayments                       |                               |   |

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## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FLING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       |
|------------------|------------|-----------------------|-------------|-----------------------|------------------|-----------------------|
|                  | Fee (\$)   | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |
| Utility          | 300        | 150                   | 500         | 250                   | 200              | 100                   |
| Design           | 200        | 100                   | 100         | 50                    | 130              | 65                    |
| Plant            | 200        | 100                   | 300         | 150                   | 160              | 80                    |
| Reissue          | 300        | 150                   | 500         | 250                   | 600              | 300                   |
| Provisional      | 200        | 100                   | 0           | 0                     | 0                | 0                     |

## 2. EXCESS CLAIM FEES

| Fee Description   | Small Entity Fee (\$) | Fee (\$) |
|---|-----------------------|----------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50                    | 25       |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200                   | 100      |
| Multiple dependent claims   | 360                   | 180      |

| Total Claims   | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|--|--------------|----------|---------------|---------------------------|----------|---------------|
| - 20 or HP =   | x            | 50       | =             |                           |          |               |
| HP = highest number of claims paid for, if greater than 20 |              |          |               |                           |          |               |

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|
| - 3 or HP =   | x            | 210      | =             |

HP = highest number of independent claims paid for, if greater than 3

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 =      | /50 =        | (round up to a whole number) x                   |          |               |

| 4. OTHER FEE(S)   | Fee Paid (\$) |
|---|---------------|
| Non-English Specification, \$130 fee (no small entity discount) |               |
| Other: Informatics Disclosure Statement                         | 180.00        |

| NAME/TYPE                           | Registration No. | Telephone (XXX) XXX-XXXX |
|-------------------------------------|------------------|--------------------------|
| Eric P. O'Reilly                    | 44421            |                          |
| Name (Print/Type): Brian D. Cobbard |                  | Date: 01/22/2005         |

In accordance with the requirements of 37 CFR 1.16, the information is required to obtain or retain a benefit by the public or private to a (and by the U.S. Patent and Trademark Office). Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 60 minutes to complete, including applying, preparing, and submitting the completed forms to the USPTO. This will vary depending upon the individual case. Any comments or suggestions concerning this collection of information or its burden, should be sent to the Office Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DOD ID: GSA NCGR COMPLETED - GSA STO TR-3  
ADDRESS: SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Application Serial No.....09/892,923  
3 Filing Date .....6/26/2001  
4 Confirmation No .....3843  
5 Inventorship .....Bret P. O'Rourke  
6 Applicant .....Microsoft Corporation  
7 Group Art Unit .....2171  
8 Examiner .....BRIAN D GODDARD  
9 Attorney's Docket No. ....MS1-655US  
10 Title: Dynamic Streaming Media Management

11                   **INFORMATION DISCLOSURE STATEMENT**

12                   **References – See Attached Form PTO-1449**

13                   To:         Commissioner for Patents  
14                           PO Box 1450  
15                           Alexandria, VA 22313-1450  
16                   From:      Brian G. Hart (Tel. 509-324-9256; Fax 509-323-8979)  
17                           Lee & Hayes, PLLC  
18                           421 W. Riverside Avenue, Suite 500  
19                           Spokane, WA 99201

20                   The attached form PTO-1449 is submitted in compliance with Applicant's duty of  
21 disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of  
22 official record in this application.

23                   The Commissioner is hereby authorized to charge payment of fees or credit  
24 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

25                   Dated: 12/10/03

26                   By: Brian G. Hart  
27                           Brian G. Hart  
28                           Reg. No. 44421



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through September 30, 2007. GPO 2004-093

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|  |   |                          |                  |
|--|---|--------------------------|------------------|
| <p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> |   | <b>Complete if Known</b> |                  |
|  |   | Application Number       | 09/892,923       |
|  |   | Filing Date              | 6/26/2001        |
|  |   | First Named Inventor     | Bret P. O'Rourke |
|  |   | Art Unit                 | 2171             |
|  |   | Examiner Name            | BRIAN D GODDARD  |
| Sheet  | 1 | of                       | 1                |
|  |   | Attorney Docket Number   |                  |
|  |   | MS1-655US                |                  |

## U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |                |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials'       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
|                          |                       |   |                                |  |   |                |
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|                          |                       |   |                                |  |   |                |

|                               |  |                            |  |
|-------------------------------|--|----------------------------|--|
| <b>Examiner<br/>Signature</b> |  | <b>Date<br/>Considered</b> |  |
|-------------------------------|--|----------------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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